

JCWSCS 24 MAY 2004

05/21/2004 15:26 FAX 5123222501

BAKER BOTTS

001

**BAKER BOTTS LLP**

*Fax receipt will not be confirmed by phone unless requested.*

1500 SAN JACINTO CENTER  
98 SAN JACINTO BOULEVARD  
AUSTIN, TEXAS  
78701-4287  
512.322.2500  
FAX 512.322.2501  
www.bakerbotts.com

AUSTIN  
BAKU  
DALLAS  
HOUSTON  
LONDON  
MOSCOW  
NEW YORK  
RIYADH  
WASHINGTON

FROM Heather Moseley, Legal Assistant Clerk  
DATE May 21, 2004  
PAGES 5 (including cover)

VOICE NO. 512.322.2637  
FAX NO. 512.322.2501  
RETURN TO Heather Moseley

TO Office of Initial Patent Examinations – Customer Service Center  
Commissioner of Patents

FAX NO. 703.746.9195  
VOICE NO.

**MESSAGE**

Re: Patent Application Serial Number 10/779,945, filed February 17, 2004 by Robert R. Bushey et al.

Attached please find a Request for Corrected Official Filing Receipt for the above-mentioned patent application.

Thank you,  
Heather Moseley

**AUS01:348823.1 Notice of Confidentiality**

*The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon the information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.P. by telephone at 512.322.2575 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.*

*If you do not receive all pages, please call: 512.322.2575 for assistance.*

BILLING NO.

ATTORNEY/EMPLOYEE NO.

CRITICAL DEADLINE, SEND BY

PAGE 1/5 \* RCVD AT 5/21/2004 4:28:50 PM [Eastern Daylight Time] \* SVR:USPTO-EFAX-2/1 \* DNIS:7469195 \* CSID:5123222501 \* DURATION (mm-ss):02:16

ATTORNEY DOCKET  
064198.0179

PATENT APPLICATION  
10/779,945

1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert R. Bushey et al.  
Serial Number: 10/779,945  
Date Filed: February 17, 2004  
Group Art: 2184  
Examiner: Unknown  
Confirmation No. 9366  
Title: *System and Method for Managing Recognition  
Errors in a Multiple Dialog State Environment*

**VIA FACSIMILE TO 703.746.9195**  
**Attention: Office of Initial Patent Examination**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this  
correspondence is being sent via  
facsimile, 703.746.9195, to: Office  
of Initial Patent Examinations  
Customer Service Center,  
Commissioner of Patents, P.O. Box  
1450, Alexandria, VA 22313-1450  
on the date shown below.

*Heather Moseley*  
Heather Moseley  
5/21/04  
Date

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

Please correct the city of residence for the third inventor by deleting "Austin, TX" and replacing with --Round Rock, TX--. A corrected filing receipt is respectfully requested.

AUS01:348812.1

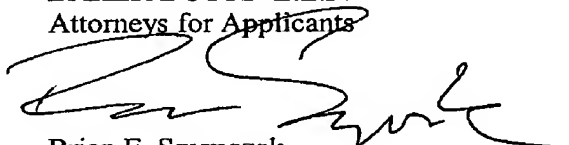
ATTORNEY DOCKET  
064198.0179

PATENT APPLICATION  
10/779,945

2

Applicants believe there is no fee due, however, the Commissioner is hereby authorized to charge any amount required by this paper to Deposit Account No. 50-2148 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Brian E. Szymczak  
Reg. No. 47,120

Date: 5/21/04

Customer Number **31625**

Please send correspondence to:

Baker Botts, L.L.P.  
98 San Jacinto Blvd.  
1500 San Jacinto Center  
Austin, TX 78701  
512.322.2634  
512.322.8325 (Fax)

AUS01:348812.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (e) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/779,945	02/17/2004	2184	856	064198.0179 ✓	5 ✓	20 ✓	4 ✓

CONFIRMATION NO. 9366

31625 ✓  
BAKER BOTTS L.L.P.  
PATENT DEPARTMENT  
98 SAN JACINTO BLVD., SUITE 1500  
AUSTIN, TX 78701-4039

## FILING RECEIPT



\*OC000000012598780\*

Date Mailed: 05/12/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Robert R. Bushey, Cedar Park, TX; ✓  
John M. Martin, Austin, TX; ✓  
Benjamin A. Knott, Austin, TX, ROUND ROCK, TX

## Assignment For Published Patent Application

SBC Knowledge Ventures, L.P.; ✓

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 05/11/2004

Projected Publication Date: 08/18/2005

Non-Publication Request: No

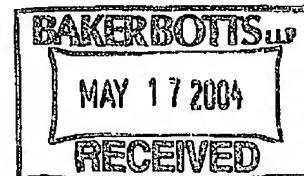
Early Publication Request: No

## Title

System and method for managing recognition errors in a multiple dialog state environment ✓

DOCKETED

MAY 21 2004



**Preliminary Class**

714

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).